

1 **Statistical-dynamical downscaling projections of tropical cyclone activity in**
2 **a warming climate: Two diverging genesis scenarios**

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ABSTRACT

16 Tropical cyclone (TC) activity is examined using the Columbia HAZard
17 model (CHAZ), a statistical-dynamical downscaling system, with environ-
18 mental conditions taken from simulations from the Fifth Coupled Model In-
19 tercomparison Project (CMIP5) for the historical period and a future scenario
20 under the Representative Concentration Pathway 8.5. Projections of global
21 and basin TC frequency depend sensitively on the choice of moisture variable
22 used in the Tropical Genesis Cyclone Index (TCGI) component of CHAZ.
23 Simulations using column relative humidity show an increasing trend in the
24 future, while those using saturation deficit show a declining trend, though
25 both give similar results in the historical period. While the projected an-
26 nual TC frequency is also sensitive to the choice of model used to provide
27 the environmental conditions, the choice of humidity variable in the TCGI is
28 more important. Changes in TC frequency directly affect the projected TCs'
29 tracks and the frequencies of strong storms on both basin and regional scales.
30 This leads to large uncertainty in assessing regional and local storm hazards.
31 The uncertainty here is fundamental and epistemic in nature. Increases in the
32 frequency of major TCs, rapid intensification rate, and decreases in forward
33 speed are insensitive to TC frequency, however. The present results are also
34 consistent with prior studies in indicating that those TC events which do oc-
35 cur will, on average, be more destructive in the future because of the robustly
36 projected increases in intensity.

37 **1. Introduction**

38 As the earth's climate warms due to increasing concentrations of greenhouse gases, tropical
39 cyclones (TCs) are expected to change. Among the more confident expectations are that TC pre-
40 cipitation and wind speeds will increase, and that the impact of storm surge will increase due to
41 rising sea levels (Knutson et al. 2010; Woodruff et al. 13; Walsh et al. 2016; Camargo and Wing
42 2016; Sobel et al. 2016). There is much less confidence in projections of changes in TC frequency,
43 or in the spatial distributions of storm genesis, track patterns and translation speeds. The uncer-
44 tainties are, in general, even larger at the scale of individual basins than at the global scale, and
45 larger still at sub-basin scales. Yet changes in frequency and track patterns influence all other
46 aspects of the hazard at any specific location, making hazard assessment challenging. Here we
47 study projections of various measures of TC climatology on both global and basin-wide scales
48 under warming climates derived from coupled global earth system models, downscaled using a
49 statistical-dynamical tropical cyclone hazard model.

50 Projections of tropical cyclone frequency are of particular interest. With low confidence, many
51 recent studies have suggested a decline in the global number of TCs with warming (e.g., Inter-
52 governmental Panel on Climate Change (IPCC) 2013; Knutson et al. 2010), with a few exceptions
53 (e.g., Emanuel 2013; Bhatia et al. 2018; Fedorov et al. 2018). One hypothesized mechanism for
54 the reduction is the decrease of mean ascending mid-tropospheric vertical velocity in genesis re-
55 gions due to increasing static stability (Held and Zhao 2011; Sugi and Yoshimura 2012; Sugi
56 et al. 2012). Another is an increase in the saturation deficit, which increases the timescale for the
57 free troposphere in a TC to reach saturation through surface evaporation (Emanuel 2008; Mallard
58 et al. 2013). On the other hand, possible mechanisms for increasing annual TC frequency include
59 increases in potential intensity (PI), and in particular, increases in the area over which the PI is

60 sufficiently large to sustain genesis, due to the reduction in the meridional temperature gradient
61 and relative warming at the poleward boundaries of the historical zones of TC activity (Fedorov
62 et al. 2018; Viale and Merlis 2017). In a recent study using the 25 km High-Resolution Forecast-
63 Oriented Low Ocean Resolution (HiFLOR) model at the Geophysical Fluid Dynamics Laboratory
64 (GFDL), Bhatia et al. (2018) showed an increasing trend in TC frequency as the climate warms.
65 This increase is in agreement with the downscaling results of Emanuel (2013) for the Fifth Cou-
66 pled Model Intercomparison (CMIP5), but in disagreement with many other previous studies, as
67 reviewed, e.g., in Walsh et al. (2016). Unlike the case of TC intensity, where PI theory comple-
68 ments numerical experiments, there is no established theory for TC genesis to help us interpret the
69 conflicting results from different modeling studies.

70 For regional hazards, changes in the spatial patterns of TC genesis and track are potentially as
71 important as changes in basin-wide frequency, if not more so. Any changes in the sizes or positions
72 of the main genesis locations are likely to affect the distributions of storm tracks (Wang and Chan
73 2002; Camargo et al. 2007; Daloz et al. 2015). Changes in large-scale steering flows may also
74 change the preferred direction and speed of TCs' forward motion (Colbert et al. 2013). Murakami
75 and Wang (2010) found a decrease in the TC occurrence in the tropical western North Atlantic, but
76 an increase in the tropical Eastern North Atlantic, notwithstanding an overall reduction in the North
77 Atlantic genesis. Yokoi et al. (2012) showed that the increases in the frequency of eastern Japan
78 storms are due to changes in the storms' translation direction, which resulted from the southward
79 shift of the subtropical jet axis and resultant intensification of westerly steering flows. With a set
80 of Global Climate Models (GCMs), Nakamura et al. (2017) further identified an eastward and a
81 poleward shift in the typhoon tracks as climate warms. Using observed tracks from 1945 to 2015,
82 Kossin (2018) found a slowing down of TCs' mean forward motion. Such a slowdown in TC
83 translation speed has not yet been shown to be a feature of future projections, but it is arguably

84 consistent with the projection of a slowing down of the atmospheric circulation (Held and Soden
85 2006; Vecchi et al. 2006), which is in turn due to a more stable tropical atmosphere (Ma et al.
86 2012; He and Soden 2015).

87 In contrast to genesis and track, it is broadly agreed that greenhouse gas-driven warming will
88 result in stronger storm intensity. This expectation is supported by PI theory as well as numeri-
89 cal model simulations. The emergence of the signal thus far is likely muted by aerosol cooling,
90 whose impact on PI is comparable, but of opposite sign, to the greenhouse gas-driven increase
91 (Ting et al. 2015; Sobel et al. 2016). The greenhouse warming is expected to increasingly outpace
92 aerosol cooling in future (Westervelt et al. 2015). The fraction of TCs with intensities higher than
93 Saffir–Simpson Category 3 is projected to increase under continued warming; Bhatia et al. (2018),
94 for example, showed that the total frequency of Category 4 and 5 TCs increases globally in their
95 simulations. Because TCs rarely reach major hurricane strength without undergoing rapid intensi-
96 fication (RI; intensity increases of more than 35 kt per 24 hours, Lee et al. 2016b), the increasing
97 numbers of strong storms is likely to mean increasing numbers of storms undergoing RI as well
98 (Kowch and Emanuel 2015; Emanuel 2017). Using 24-hourly storm data from both observations
99 and the high-resolution GCM simulations, Bhatia et al. (2019) further found a significant increase
100 in the frequency of RI events, in the past 30 years of historical observations and in future climate
101 projections.

102 We are interested in how global climate change will influence TC hazards regionally. To do
103 this, we use a statistical-dynamical downscaling approach in which we downscale six CMIP5
104 models using the Columbia HAZard model (CHAZ), developed in previous work (Lee et al. 2018).
105 The CHAZ model, the CMIP5 model simulations, and the downscaling experiments themselves
106 are described in Section 2. Differences in the large-scale conditions in CMIP5 models between
107 current and projected future climates are shown in Section 3. We discuss changes in the statistics

108 of synthetic storms’ genesis, tracks, and intensities on global and basin-wide scales in Sections 4
109 and 5. In Section 6 we show the estimated TC hazards from CHAZ simulations, followed by a
110 conclusions in Section 7.

111 **2. Methods and Experiment Design**

112 *a. The Columbia HAZard Model (CHAZ)*

113 The CHAZ model has been developed and tested using data from the recent historical climate.
114 The model is composed of three separate components, which predict genesis, track, and intensity
115 respectively. The method is described in detail in (Lee et al. 2018), but we give a brief summary
116 here.

117 The genesis model seeds the domain with weak vortices (or disturbances) using a seeding rate
118 that depends on environmental conditions through a tropical cyclone genesis index (TCGI). The
119 index is described by Tippett et al. (2011) and Camargo et al. (2014). The weak seed vortices
120 are then passed to the intensity and track models to determine the storm’s further evolution. The
121 track model moves the storm forward by advection by the environmental wind plus a “beta drift”
122 component. The advection is calculated using steering flow, defined as below:

$$\mathbf{V}_{steering\ flow} = \mathbf{V}_{850} + 0.8 \times \mathbf{V}_{250}, \quad (1)$$

123 where \mathbf{V} are monthly mean wind at 850 hPa and 200 hPa, plus a stochastic component based on
124 eddy statistics that represents the effects of short-term wind fluctuations (Emanuel et al. 2006).

125 The intensity model (Lee et al. 2015, 2016a) has two components. The first is an empirical mul-
126 tiple linear regression model, which advances the TC intensity in time along the track based on
127 the surrounding large-scale environment and is similar in construction to statistical models used
128 in operational forecasting (DeMaria et al. 2005). The second is a stochastic component which

129 accounts for the internal storm dynamics and does not depend explicitly on the environment, but
130 only on the storm's current state and recent history. Intensity at landfall is calculated from a sep-
131 arate regression model that takes into account the proximity to land, as well other environmental
132 conditions.

133 Ambient environmental variables required by the CHAZ model are Potential Intensity (PI, Bister
134 and Emanuel 2002), deep-layer (850 to 250 hPa) vertical wind shear (SHR), the moisture variables
135 – relative humidity and/or saturation deficit (SD), the absolute vorticity at 850 hPa (η_{850}), and the
136 steering flow (Eq. 1). Humidity enters in multiple ways: the intensity model uses area-averaged
137 mid-level humidity (Lee et al. 2015) while TCGI uses grid values of either column integral relative
138 humidity (CRH, Tippett et al. 2011) or SD (Camargo et al. 2014). SD is the difference between
139 the column integrated water vapor and the same quantity at saturation, and the CRH is their ratio.
140 Both are calculated following Bretherton et al. (2004). The choice between these two humidity
141 variables in TCGI will prove consequential in this study.

142 *b. Downscaling experiments and CMIP5 models*

143 In this study, synthetic storms are generated by downscaling six Coupled Model Intercompar-
144 ison Project Phase 5 (CMIP5, Taylor et al. 2012) models. They are the National Center for At-
145 mospheric Research (NCAR) Community Climate System Model 4 (CCSM4, Gent et al. 2011),
146 the Geophysical Fluid Dynamics Laboratory Climate Model version 3 from (GFDL-CM3, Don-
147 ner et al. 2011), the United Kingdom Meteorological Office Hadley Center Global Environment
148 Model version 2 Earth System (HadGEM2-ES, Jones et al. 2011), the Max Planck Institution
149 Earth System Model Medium Resolution (MPI-ESM-MR, Zanchettin et al. 2012), the Model
150 for Interdisciplinary Research on Climate Version 5 (MIROC5, Watanabe et al. 2010) from the
151 University of Tokyo Center for Climate System Research, National Institute for Environmental

152 Studies, Japan, Japan Agency for Marine-Earth Science and Technology Frontier Research Center
153 for Global Change, and the Meteorological Research Institute of Japan’s Climate General Circu-
154 lation Model 3 (MRI–CGCM3, Yukimoto et al. 2012). Those models were selected following
155 Emanuel (2013), allowing direct comparison with that study. While more models are available
156 from CMIP5, it turns out that the greatest uncertainty in our results is not from the model being
157 downscaled but from the choice of moisture variable used in TCGI, as described below. Thus six
158 models are more than adequate to illustrate the most challenging issue that arises in this study.

159 Applying the TCGI to a set of simulations of the GFDL High Resolution Atmospheric Model
160 (HiRAM, Zhao et al. 2009) at 50–km horizontal grid spacing, Camargo et al. (2014) found that
161 using saturation deficit (SD) as the moisture variable in the TCGI results in a reduced frequency
162 of TCs globally in a warming climate, while using CRH results in an increase. The results from
163 the SD choice were consistent with the directly simulated storm genesis reduction from HiRAM,
164 while those from the CRH choice were not. Based on this, as well as on a simple theoretical
165 argument, it was suggested that SD is a better choice for the moisture variable of TCGI for climate
166 change studies. These results, however, were contingent on the HiRAM simulations; Camargo et
167 al. (2014) was a “perfect model” study, taking that model — which at the time agreed qualitatively
168 with most other global models at comparable resolutions — as the target to be explained. As
169 discussed in the Introduction (Section 1), however, there is now a larger uncertainty in the future
170 projection of TC frequency, as simulations from a 25–km GGM show an increasing trend (Bhatia
171 et al. 2018), as do the downscaling simulations of Emanuel (2013). Many other models still project
172 decreases, however, and given the absence of a physical theory for TC frequency, this leads us to
173 be uncertain about the sign, let alone the magnitude of expected future changes.

174 Considering the complex set of results from the prior studies cited above, here we design two
175 sets of simulations. In the first, CRH is used as the moisture variable:

$$\mu = \exp(b + b_{\eta}\eta_{850,c} + b_{CRH}CRH + b_{PI}PI + b_{SHR}SHR), \quad (2)$$

176 while SD is used in the second:

$$\mu = \exp(b + b_{\eta}\eta_{850,c} + b_{SD}SD + b_{PI}PI + b_{SHR}SHR). \quad (3)$$

177 Here μ is the estimated seeding rate, and b is the intercept and b_X represents the coefficient of
178 parameter X . The subscript ‘c’ in $\eta_{850,c}$ indicates that TCGI uses absolute vorticity clipped at
179 3.7×10^{-5} (Tippett et al. 2011). We call these two sets of experiments TCGI_CRH and TCGI_SD.

180 The two versions of TCGI and six CMIP5 models give a total of 12 experiments. In each
181 experiment, we generate 120 simulations of each year from 1981 to 2100. The CHAZ track
182 set records 6-hourly storm location (in longitude and latitude) and maximum wind speed (kt).
183 Large-scale environmental conditions for the period of 1981 – 2005 are taken from the historical
184 simulations, and those for the periods of 2006 to 2100 are from future climate simulations under
185 Representative Concentration Pathway 8.5 (rcp8.5) scenarios. To understand the modulation of
186 TC activity by climate change, we analyze trends from 1981 to 2100 as well as differences in
187 the climatologies of the periods: 1981 – 2005, 2006 – 2040, 2041 – 2070, and 2071 – 2100.
188 The last period (2071 – 2100) is referred to as the late 21st Century. For convenience, we refer to
189 simulations of the historical period as HIST and those for the late 21st Century as RCP85 hereafter.

190 *c. TC basins and observations*

191 We analyze TC climatology both globally and basin-wide. Following the conventional defini-
192 tions, the seven TC basins are the North Indian Ocean (ni, west of 110°E), Western North Pacific

193 (wnp, 110° – 180°E), Eastern North Pacific (enp), North Atlantic (atl), South Indian Ocean (sin, 0°
194 – 90°E), northern Australia (aus, 90°E – 160°E), and South Pacific (spc, 160°E – 120°W).

195 The TC season for the Southern Hemispheric basins crosses the calendar year, from December
196 to May, and thus annual values, such as annual TC frequency in these basins are usually calculated
197 from July to June (Schreck et al. 2014). In this study, however, we calculate annual values using
198 calendar year, January to December, for all basins. We are looking at TC climatologies over 20 to
199 30 years, rather than at interannual variability; thus it is not necessary to calculate annual values
200 following the individual TC seasons. Furthermore, it is easier to attribute the impact of TC activity
201 in individual basins to the global total when the annual values are defined over the same period.

202 Simulated TC climatologies from the historical periods are compared to the observations from
203 the International Best Track Archive for Climate Stewardship v03r08 (IBTrACS, Knapp et al.
204 2010). IBTrACS contains data from various operational centers. Here we use those from the
205 National Hurricane Center (NHC) and Joint Typhoon Warning Center (JTWC). We use the 6–
206 hourly storm location (in longitude and latitude) and maximum wind speed (kt) from 1981 to
207 2013.

208 *d. Bias correction*

209 When downscaling CHAZ from the CMIP5 model data, the parameters in the equations for gen-
210 esis, storm motion and intensity change may need to be adjusted. The model was trained using
211 observation-based reanalysis data, and the most appropriate statistical relationships between envi-
212 ronmental parameters and TC genesis, track and intensity might differ when using climate models’
213 environmental fields, due to biases in the model’s climates. However, CMIP5 climate models do
214 not simulate realistically the observed TC frequency and (especially) intensity distributions, due to
215 their low horizontal resolutions (Camargo 2013). So, adjusting CHAZ to capture their simulated

216 TC climatologies would defeat the purpose of using a statistical-dynamical downscaling approach.
217 While we are interested in the differences in the synthetic storms' climatology under rcp8.5 sce-
218 nario relative to those from historical period, it is appropriate to calibrate the model results first.
219 By comparing the annual TC frequency in HIST to the observations, we derive a multiplicative
220 factor that will be used as a correction when applied to the TCGI. The correction factor is uni-
221 form in time but varies by basin. Then, the same correction are applied to all the simulations
222 for warming climate. We derived correction factors for storm speed and landfall hazard during
223 post processing. There is no bias correction to the TC intensity because the CHAZ is capable of
224 generating storm intensities realistically, including the bimodality in basin distributions of lifetime
225 maximum intensity (LMI) associated with RI.

226 **3. Large-scale environments in the CMIP5 models**

227 Before analyzing the CHAZ results, we investigate the changes in large-scale environmental
228 parameters simulated by the CMIP5 models from the historical to the late 21st Century periods.
229 Changes in environmental conditions relevant to TC activity in a warming climate under the rcp8.5
230 scenario in individual CMIP5 models have been discussed in a few previous studies (Camargo
231 2013; Park et al. 2017). Our analyses focus on ensemble-averaged differences of the six variables
232 used in the CHAZ model (Fig. 1). We use only the data during the TC seasons, that is May to
233 November for the North Hemisphere and December to April for South Hemisphere. Differences
234 are considered to be significant, as indicated with gray dots in Fig. 1, if they exceed the ensemble
235 standard deviation.

236 Similar to findings from previous studies (e.g., Yu et al. 2010; Camargo 2013), Fig. 1a shows
237 that PI increases with warming throughout most of the tropics and subtropics. The exceptions
238 are the eastern Nouth Pacific and South Atlantic basins, where PI decreases. Since few storms

239 have formed in these basins in recent history, the decreases in these basins are presumably of little
240 relevance to future TC activity. The largest increase is found over the equatorial eastern Pacific,
241 where the mean values of PI increases by as much as 10 ms^{-1} , suggesting an El Niño-like pattern
242 in the future. This El Niño-like pattern is documented in many previous studies (e.g., Vecchi and
243 Soden 2007; Vecchi et al. 2008). Changes in the SHR and η_{850} are significant in a few localized
244 regions. The increase in vertical wind shear over the Caribbean Sea and southern part of Gulf of
245 Mexico (Fig. 1b), as well as the increase in the low-level vorticity over the equatorial and Eastern
246 North Pacific (Fig. 1e) are possibly related to the El Niño-like pattern, as El Niño shifts the rising
247 branch of the Walker Circulation eastward and enhances the deep convection over the equatorial
248 Pacific (Park et al. 2017). Overall, our results from the six models used here are consistent with
249 the 14-model CMIP5 multi-model ensemble mean differences shown in Camargo (2013).

250 The middle panels of Fig. 1 compare changes in the two moisture variables used in TCGI ex-
251 periments. Changes in the CRH are modest in amplitude and non-uniform in sign, while those of
252 SD are substantial and everywhere negative. SD and CRH have very similar spatial patterns in the
253 current climate (not shown). Both describe how far the atmosphere is from saturation, but they do
254 so differently: CRH represents the ratio between water vapor and saturated water vapor pressure,,
255 while SD is the absolute difference. As saturated water vapor increases with the temperature, CRH
256 remains close to constant (e.g., Held and Soden 2006; Sherwood et al. 2010; Wright et al. 2010),
257 SD decreases (Camargo et al. 2014). Thus it is difficult to determine which is a better variable to
258 use in TCGI from historical observations, while nonetheless the two behave very differently under
259 global warming. We will see below that the difference has large consequence for TC frequency.

260 Changes in the the steering flow (Eq. 1) are shown in Fig. 1f. The steering flow over the near-
261 equatorial North Indian Ocean shows a significant decrease, suggesting that storm translation
262 speeds should decrease here. In contrast, the steering flow increases over the northern part of

263 that basin. An increase in the steering flow also occurs over the Caribbean sea. In other TC basins,
264 changes in the steering flow are small in magnitude and spatially variable in sign.

265 Overall, it is difficult to determine how TC activity would change solely from knowledge of the
266 changes in the environmental conditions described above. In some regions, changes in different
267 large-scale environmental variables are in opposition to each other. For example, the larger values
268 of wind shear in the Caribbean Sea are unfavorable to storm formation and intensification, but
269 increases in PI could perhaps compensate. An exception is over the Arabian Sea, where the two
270 most important factors (PI and SHR) for TC formation and intensification both become more
271 favorable as the climate warms. Therefore, one may expect more active TC seasons here in the
272 future, in agreement with existing studies (Evan et al. 2011; Murakami et al. 2017)

273 **4. Two plausible changes in the annual TC frequency**

274 *a. Global perspective*

275 Time series of the annual TC frequency from the two sets of CHAZ simulations with different
276 humidity variables (Fig. 2a) diverge in the future. In the TCGL-CRH experiments, the global
277 mean TC frequency increases by roughly 35 storms from the historical period to the end of the
278 21st Century, with a mean rate of increase of 0.31 storms per year. In the TCGL-SD experiments,
279 the multi-model, global mean annual TC frequency drops by roughly 0.63 storms per year, a
280 reduction of around 60 storms by 2100. This bifurcation is due to differences in the seeding rate
281 in TCGI (Fig. 2b) that depend on the humidity variable. In Eq. 2, changes in PI dominate and
282 result in increasing μ as the climate warms. Using our results in Eq. 3, SD surpasses the PI's
283 impact and leads to a large reduction in μ . This finding is consistent with Camargo et al. (2014)
284 as discussed in Section 2b. While the sign of the projected tendency is not sensitive to choice of

285 the CMIP5 model being downscaled, the magnitude of the change is (Table 1). Among the six
286 CMIP5 models used here, in the TCGI_CRH experiments, the GFDL-CM3 shows the largest rate
287 of increase, 0.56 storms per year, while the MRI-CGCM3 has the smallest, 0.07. In the TCGI_LSD
288 experiments, the GFDL-CM3 and HadGEM2-ES models have decreases at rates greater than 0.7
289 storms per year while MIROC5 has the smallest rate of decrease, 0.5. For reference, the trends
290 in the directly-simulated TC frequencies in these CMIP5 models used here, as shown in Camargo
291 (2013), are decreasing in the GFDL-CM3 (30%), HadGEM2-ES (50%), and MIROC5 (50%)
292 models, and increasing in MPI-ESM-MR (20%) and MRI-CGCM3 (30%). The changing rates
293 in the parentheses are rough estimates from mediums in the Figure 7 in Camargo (2013). Note
294 that, number of storms in these low-resolution models are sensitive to the tracking routines. For
295 example, Camargo (2013) found that CCSM4 produced too few storms to examine the changes
296 with warming, but Tory et al. (2013) used different tracking routine and found a reduction in TC
297 frequency in the warming climate in that model.

298 Downscaling the same six CMIP5 models but using a different statistical-dynamical downscal-
299 ing model, Emanuel (2013) found that the global TC frequency increases from 80–85 storms per
300 year at historical period to 120 (ranges from 90 to 125) at 2100. One of the differences between
301 our methods and Emanuel’s is that we use TCGI to determine our seeding rate while he uses a
302 random seeding approach. The TC frequency (genesis rate) in both models can be seen as a com-
303 bination of the seeding rate, i.e., the rate at which precursors that are inserted initially, and the
304 survival rate, i.e., ratio of storms that intensified from these precursors. In Emanuel’s approach,
305 the increasing trend in CMIP5 is due to changes in the survival rate, because the seeding rate does
306 not change. The warming climate allows more vortices to intensify and grow into mature storms.
307 In our approach, changes in the TC annual frequency are due to changes in the seeding rate (Fig-
308 ure 2b). The slopes (Table 1) of the trends of the annual TC frequency and seeding rate are slightly

309 different, though, because the survival rate changes as well, if only slightly. In both experiments,
310 the survival rate (Fig. 2c) decreases, implying that if the random seeding technique is used in the
311 CHAZ — a target for future work — we may find a reduction in the annual TC frequency. It is
312 worth noting here that the recent finding of an increase in TC count from the 25–km resolution
313 GCM by Bhatia et al. (2018), discussed in the Introduction (Section 1), is attributed to an increase
314 in the number of precursors (Vecchi and coauthors 2019).

315 The ensemble mean annual frequency over all the experiments, including both TCGL_CRH and
316 TCGL_SD (orange lines in Fig. 2a), is arguably not meaningful, because no individual model
317 simulation comes near it. This leaves us in a challenging situation. As both versions of TCGL
318 yield similar results for the historical period, we cannot determine from historical evidence which
319 of the two is more correct. While there is a theoretical argument for using SD (Emanuel et al.
320 2008), that argument has not been articulated in detail nor tested in any demanding way, and we
321 do not view it as adequate to settle the matter — particularly when the best global high-resolution
322 dynamical simulations are similarly equivocal to our results, with some models showing increases
323 and others decreases. Unlike the case with intensity, where PI theory offers some guidance (Bister
324 and Emanuel 2002), or precipitation, where increases are very robustly supported by a range of
325 evidence (e.g., Knutson et al. 2010), there is no general theory for TC frequency on either a basin
326 or global scale that we might use to guide our interpretation of numerical model results (e.g.,
327 Walsh et al. 2016). The bottom line is that, as far as we can tell, there are two very distinct but
328 possible scenarios, or "storylines" (Shepherd 2016; Shepherd et al. 2018) of future changes in TC
329 frequency. The uncertainty is epistemic, and we cannot assign probabilities to the two storylines,
330 other than saying that we cannot rule out either one. Given this current state of knowledge, we
331 can and should explore the consequent changes in other aspects of the TC climatology and hazard
332 corresponding to these two different storylines.

333 *b. Basin-wide perspective*

334 For individual basins, the bifurcation between the TCGI_CRH and TCGI_SD experiments (the
335 right panels in Figs. 3 and 4) is not as dramatic as it is globally (Fig. 2). In the Atlantic, Eastern
336 North Pacific, North Indian Ocean, South Indian Ocean, and northern Australia, the lowest 5-25
337 percentiles of the annual frequency distributions for TCGI_CRH overlaps with 75-95 percentiles in
338 the TCGI_SD experiments. We can still see a clear divergence between the two sets of experiments,
339 however.

340 The right panels in Figs. 3 and 4 show the distribution of the annual TC frequency from observa-
341 tions and simulations from the historical period (HIST) and late 21st Century (RCP85). For each
342 curve, the mean is marked by a dotted line. Since the mean of the HIST is adjusted toward the
343 observations, they have the same values (black dotted line) by construction. While we correct only
344 the mean value of genesis, the simulated distributions (colored dashed curves) are close to those
345 from observations (black curves) in the Atlantic, Eastern North Pacific, and northern Australian,
346 indicating that the CHAZ model successfully reproduces the differing observed interannual fre-
347 quency in these regions. Over the Western North Pacific, North Indian Ocean, and South Indian
348 Oceans, CHAZ overestimates the probabilities of the tail-part of the formation rates. In the South
349 Pacific, the CHAZ underestimates the chance of more than 18 storms in a year.

350 The distributions of annual TC frequency in the RCP85 from the TCGI_CRH experiments are
351 shifted toward the right relative to the historical period (comparing the dashed blue lines to the
352 solid blue lines in the right panels in Figs. 3 and 4), consistent with the increasing trends in fre-
353 quency in those experiments. In the Western North Pacific, the rate of increase is 0.12 storms per
354 year, and the mean annual TC frequency increases from 25.44 in the current climate to 37.85 by
355 the late 21st Century (50%). The mean number increases by 7.27 (40%) in the Eastern North Pa-

356 cific, and by 2.95 (62%), 2.75 (25%), 1.79 (20%), 1.55 (12%), and 0.98 (16%) in the North Indian
357 Ocean, northern Australia, South Indian Ocean, North Atlantic, and South Pacific, respectively.
358 Relatively speaking, the North Indian Ocean has the largest rate of increase, followed by the Pa-
359 cific basins. In most TC basins, the 100-year values (those which occur with 1% probability in a
360 given year) of the mean annual TC frequency in the historical period become about 10 times more
361 likely by the late 21st Century. Increases in the extreme values of Atlantic annual frequency are
362 smaller than those in other basins. When SD is used in the TCGI, the mean frequencies decrease,
363 as expected from the global results above, and the distributions of annual TC frequency in the late
364 21st Century shift left accordingly. The rates of decrease are larger than the increasing rate in the
365 TCGI_CRH experiments in all basins except in the North Indian Ocean, where there is no room
366 for large reductions (in an absolute sense) in the TC frequency since the numbers in the historical
367 climate are already low. The largest rates of decrease occur in the two northern Pacific basins
368 where the mean frequencies drop by 13.3 and 9.06 over the western and Eastern North Pacific, re-
369 spectively. In contrast to the TCGI_CRH results, which show a wide range in the rates of increase
370 across basins, the fractional rates of decrease in the TCGI_SD results are all around 50% with, the
371 largest decrease, 66%, in the Atlantic.

372 **5. Changes in the TC tracks and intensity**

373 *a. Track pattern*

374 To examine changes in the track patten, we calculate track density using TC occurrence on 5°
375 by 5° grid per year. The projected changes (Fig. 5), at least in sign, simply reflect the changes in
376 the TC frequency. Patterns in Fig. 5a, which shows TCGI_CRH results, are dominated by results
377 from GFDL-CM3, the CMIP5 model with the largest upward trend. On the regional scale, there

378 is greater model to model variability. Using CRH as the TCGI's moisture variable, more than
379 four CMIP5 downscaling simulations agree on the positive trend (increase in TC frequency) over
380 the Western North Pacific, North Indian, and western South Indian Oceans, as well as the South
381 Pacific east of Australia. In the Eastern to Central North Pacific, North Atlantic, eastern South
382 Indian Ocean, and central South Pacific, on the other hand, at least three CMIP5 downscaling
383 simulations show no changes or decreasing trends. In the TCGI_SD experiment, results forced by
384 all six CMIP5 models agree on a global declining trend.

385 *b. Changes in translation speed*

386 Another question of interest is whether TC translation speed is influenced by climate change,
387 as suggested by Kossin (2018) based on observations. Here we consider this question using our
388 CHAZ simulations. We consider only data from points near land, between 35° north and south,
389 because of our interest in the hazard to human society. 'Near land' is defined as when there is
390 a landmass within 300 km radius from the storm center. The restriction on the latitude in our
391 analysis is to avoid the inclusion of storms expected to undergo extratropical transition.

392 The relative probability distribution in Fig. 6 shows that the most frequent observed forward
393 speed frequent values lie in the range 10 – 15 km hr⁻¹, with fewer than 10% of storms having
394 translation speeds in the range 0 – 5 km hr⁻¹. Those features are captured by the CHAZ model.
395 Comparing the distributions from HIST and RCP85, the probabilities of translation speeds below
396 15 km hr⁻¹ increase by 1 – 2% globally. The increase is quite small, and the range of one standard
397 deviation from RCP85 overlaps those from HIST. The exact change in the probabilities varies by
398 basin. We see similar increases in the North Atlantic, Western and Eastern North Pacific, but not
399 in the Indian Ocean (Fig. 7). In the Southern Hemisphere TC basins, we see a slight increase in
400 the probabilities of slow moving storm over the South Pacific and Australia area, but not over the

401 South Indian Ocean (not shown). The standard deviation of the translation speed from RCP85 is
402 larger than from the HIST, indicating a larger variability in the large-scale wind fields among the
403 CMIP5 models in a warming climate.

404 Trend analyses also suggest that there is a small decline in near-land forward speed, at least glob-
405 ally. From 1981 to 2100, the slope of the global mean value is roughly $-6 \times 10^{-3} \text{ km hr}^{-1} \text{ yr}^{-1}$ in
406 both TCGI_CRH and TCGI_SD experiments. While the decreasing trend as well as the increasing
407 probability of slow-moving TCs we find are consistent in sign with the results of Kossin (2018)
408 using observations from 1945 to 2014, the trend we find is much smaller. Trend analysis are sen-
409 sitive to the beginning and ending year. With our near-land and latitude restrictions, the observed
410 trend is $-0.097 \text{ km hr}^{-1} \text{ yr}^{-1}$ from 1945 to 2013 and $-0.021 \text{ km hr}^{-1} \text{ yr}^{-1}$ from 1981 to 2013. The
411 observed trend is also influenced by a few very slow-moving storms (Hall and Kossin 2019).

412 *c. Lifetime Maximum Intensity*

413 Next we analyze the distributions of lifetime maximum intensity (LMI) as well as the numbers
414 of major TCs (Category 3 or greater) in the historical period and late 21st Century (Fig. 8). In
415 our simulations, changes in the number of major TCs largely reflect the changes in the total TC
416 frequency. The ensemble mean annual frequency of major TC increases from 20 to 32 globally in
417 the TCGI_CRH (blue lines), while it drops from 20 to 8 in the TCGI_SD. Similar results can be
418 found for individual basins.

419 The fraction of TCs reaching high intensities, however, increases as the climate warms regardless
420 of whether SD or CRH is used in the TCGI. The relative distribution of LMI in Fig. 9a and 9c shifts
421 toward right from historical period (gray) to 2006 – 2040 (green), 2041 – 2070 (yellow), and late
422 21st Century (red). As discussed in Section 2a and Lee et al. (2016b), the shoulder feature in
423 Fig. 9a, c) is due to storms that undergo RI. The shift to the right in the LMI distribution means

424 that the fraction of storms that undergo RI is increasing, which is shown in Fig. 9b and d. The
425 ensemble mean RI likelihood increases from 1 to 5 % from historical period to the late 21st Century.
426 The RI ratio slope is largest (0.0267 per year) for the MPI–ESM–MR and smallest for the CCSM4.
427 There is a decreasing trend for the MIROC5 results, but in all other models the RI ratio trend is in
428 qualitative agreement with Bhatia et al. (2019).

429 The RI ratio trend varies by basin as well as the choice of forcing climate model. The largest
430 increasing trends in the GFDL–CM3 in Figs. 9b and 9d are due to storms over the Atlantic and
431 Eastern North Pacific (Fig. 10). Over the Eastern North Pacific, storms from MPI–ESM–MR
432 and HadGEM2–ES also show large increasing trends but those from CCSM4 and MIROC5 in
433 the TCGI_SD have decreasing trends. The increasing RI ratio trend in the Southern Hemisphere
434 basins are smaller than in the Northern Hemispheric basins (Fig. 11).

435 Mean LMI latitude where TCs reach their peak intensity is observed being shifted in the past 30
436 years (Kossin et al. 2014). In the CHAZ (Table 2), we noticed a poleward migration projection
437 globally, as well as in the Atlantic, Western North Pacific, and Eastern North Pacific. Our results in
438 the Western North Pacific agrees in sign with Kossin et al. (2016) who used synthetic storms from
439 Emanuel’s system (Emanuel 2013). CHAZ, however, has a smaller migration rate. It is 0.073° and
440 0.049° per decade for TCGI_CRH and TCGI_SD experiments, respectively. The migration rate
441 is 0.13° per decade in Kossin et al. (2016). For reference, the observed value is 0.5° – 0.6° from
442 1980 to 2013. The projected poleward migrations in both Atlantic and Eastern North Pacific from
443 CHAZ are inconsistent to the recent observed trend. Detailed analyses are required in the future
444 in order to explain the above differences.

445 **6. Return periods**

446 We compute return period curves for wind speed on 5° by 5° grids to describe the comprehensive
447 impact of climate change on TC hazard at the regional scale. The return period is defined as the
448 mean recurrence interval for an event exceeding a given threshold anywhere within a specific
449 area, assuming stationary statistics, or equivalently the inverse of the annual probability of an
450 event exceeding that threshold. Here, we use 6-hourly track data with a threshold of Category 4
451 hurricane wind speed on the Saffir–Simpson scale (113 kt). A slow-moving storm may be counted
452 multiple times within a grid until it moves to the next one. The underlying assumption of a return
453 period calculation is that the record is much longer than the longest return periods being estimated.
454 Estimates from observations are shown as a reference, but can only capture the shorter return
455 periods (Figure 12a). From observations, the probabilities of TCs with Category 4 or stronger
456 intensity are highest over the Western Pacific (east of 120°E), followed by the Eastern North
457 Pacific between 15°N to 20°N , and west of 120°W . Return periods from synthetic storms during
458 the historical period have similar patterns to those observed, but over a wider area (Figure 12b and
459 c).

460 In the late 21st Century, return periods from the TCGI_CRH experiments show an overall de-
461 crease, that is, increases in the annual probabilities (the reddish color in Figure 12c). This is due
462 to increases in both storm frequency and storm intensity. In some areas, such as over the Gulf
463 of Mexico, west of Australia and in the Central South Pacific, the annual numbers of Category
464 4+ TCs are decreasing and therefore the return periods over these areas are increasing, despite an
465 overall increase in TC frequency (comparing the bluish areas in Figure 12c to Fig. 5a). Changes
466 in the return period of Category 4+ storms in the TCGI_SD experiments show an overall increase,
467 i.e., decreases in the annual probabilities (reddish color in Figure 12d), which is due to the re-

468 duction in TC frequency. We again notice a few localized areas where the the return periods of
469 Category 4+ TCs are decreasing.

470 **7. Conclusions**

471 We have used a statistical-dynamical downscaling approach to characterize the TC climatology
472 and the consequent TC hazard under future climate projections. We downscale six CMIP5 models:
473 CCSM4, GFDL–CM3, HadGEM2–ES, MPI–ESM–MR, MIROC5, and MRI–CGCM3 using the
474 CHAZ model. The large-scale predictors CHAZ uses are Potential Intensity (PI), deep–layer
475 vertical wind shear (SHR), low-level vorticity, moisture variables: mid-level relative humidity,
476 and column-integrated relative humidity (CRH) or saturation deficit (SD), and ambient steering
477 winds. As the climate warms, PI increases significantly while SD decreases (Fig. 1). Changes in
478 the other predictors are relatively small and spatially variable.

479 In the CHAZ simulations, the trend in TC frequency is controlled by the moisture variable
480 used in the genesis component, TCGI. When CRH is used (experiment TCGI_CRH), there is an
481 increasing trend in the projected annual mean TC frequency (genesis rate), while when SD is used
482 (experiment TCGI_SD), we see a declining trend (Fig. 2). This is true for all six CMIP5 models
483 we have downscaled. While the the choice of CMIP5 model providing the environmental fields
484 has some influence on the results, the choice of humidity variable in the genesis index is more
485 important. The divergence in the projected TC frequency trend is consistent with the results from
486 the “perfect model” study by Camargo et al. (2014).

487 On the basin scale, the difference between the CRH and SD results is still present, but not as
488 dramatic as on the global scale (Figs. 3 and 4). In the North Atlantic, North and South Indian
489 Oceans, and northern Australian, the bottom 10th percentile of the simulated frequency has a
490 declining trend in the TCGI_CRH results and overlaps with those in the top 10th percentile of

491 the TCGLSD results. The changes in the annual TC frequency are important because they affect
492 not only the projected TC occurrence, e.g., as manifest in track density (Fig. 5) but also other
493 measures that combine frequency and intensity and that are important for the overall hazard, such
494 as the numbers of storms exceeding high intensity thresholds (Fig. 8).

495 Other aspects of TC climatology, such as the annual mean storm forward speed and frequency-
496 independent measures of intensity — e.g., the mean LMI and the fraction of storms undergoing
497 RI — are not sensitive to the choice of CRH vs. SD. Globally, we see a slight decreasing trend in
498 the TC forward speed (Fig. 6), a small increasing trend in both LMI and RI fraction (Fig. 9), and
499 a notable poleward migration of LMI location (Table 2). On the basin-scale, the relative probab-
500 ities of occurrence of slow-moving storms near land increase with warming over the Atlantic and
501 Eastern North Pacific, while there is no clear change in other basins (Fig 7). The upward trend
502 in the RI likelihood in the Eastern to Central Pacific is the largest compared to those in the other
503 basins. The RI rate varies from one CMIP5 model to another, though, and GFDL–CM3 results
504 have a more clear upward trend (Figs. 10 and 11). The poleward migration of LMI is significant
505 in the Atlantic, Western and Eastern North Pacific (Table 2).

506 The bifurcation in the simulated trend in TC frequency is a challenging result. While there is
507 some theoretical basis to prefer SD to CRH as a humidity predictor, in our view it is not strong
508 enough to be decisive. While it is deeply unsatisfying to find results that depend, qualitatively and
509 strongly, on a choice of a predictor in an empirical genesis scheme, the resulting uncertainty — if
510 not its cause — mirrors that currently found in the best state-of-the-art global dynamical models,
511 some of which show increases in frequency while others show decreases. The large discrepancy
512 in the projected annual TC frequency leads to discrepancies in regional and local storm hazard.
513 The uncertainty here is fundamental and epistemic in nature, and not easily quantified; we have
514 no basis for assigning probabilities that one or the other simulation is correct. This is a case

515 where the “storyline” approach (Shepherd 2016; Shepherd et al. 2018), in which one considers
516 different scenarios of climate change itself (as opposed to scenarios only of the socio-economic
517 drivers) without necessarily attaching probabilities to them, may be appropriate. This study also
518 highlights the importance of improving our understanding of the fundamental physics controlling
519 TC frequency (e.g., Walsh et al. 2016). Regardless of the changes in frequency, however, our
520 results are consistent with other studies in indicating that those TC events which do occur will, on
521 average, be more destructive in the future because of the robustly projected increases in intensity.

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683 **LIST OF TABLES**

684 **Table 1.** Changes in the annual frequency and TCGI seeding rate (in number of storms
685 per year) 33

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TABLE 1. Changes in the annual frequency and TCGI seeding rate (in number of storms per year) .

	CCSM4	GFDL-CM3	HadGEM2-ES	MPI-ESM-MR	MIROC5	MRI-CGCM3
Annual frequency						
TCGLCRH	0.19	0.56	0.34	0.40	0.28	0.07
TCGLSD	-0.59	-0.73	-0.75	-0.64	-0.51	-0.59
TCGI Seeding rate						
TCGLCRH	0.34	0.80	0.68	0.49	0.62	0.21
TCGLSD	-0.68	-0.89	-0.89	-0.81	-0.55	-0.66

688 TABLE 2. Changes in the multi-model, annual mean LMI latitude (in degree per 100 years) with 95% confi-
 689 dence intervals.

	global	atl	enp	wnp	ni	sin	aus	spc
TCGLCRH	0.31±0.06	0.63±0.23	0.87±0.10	0.73±0.11	-0.02±0.24	0.57±0.14	-0.07±0.12	-0.14±0.18
TCGLSD	0.10±0.08	0.79±0.32	0.72±0.13	0.49±0.15	-0.35±0.47	0.08±0.19	0.08±0.15	-0.14±0.19

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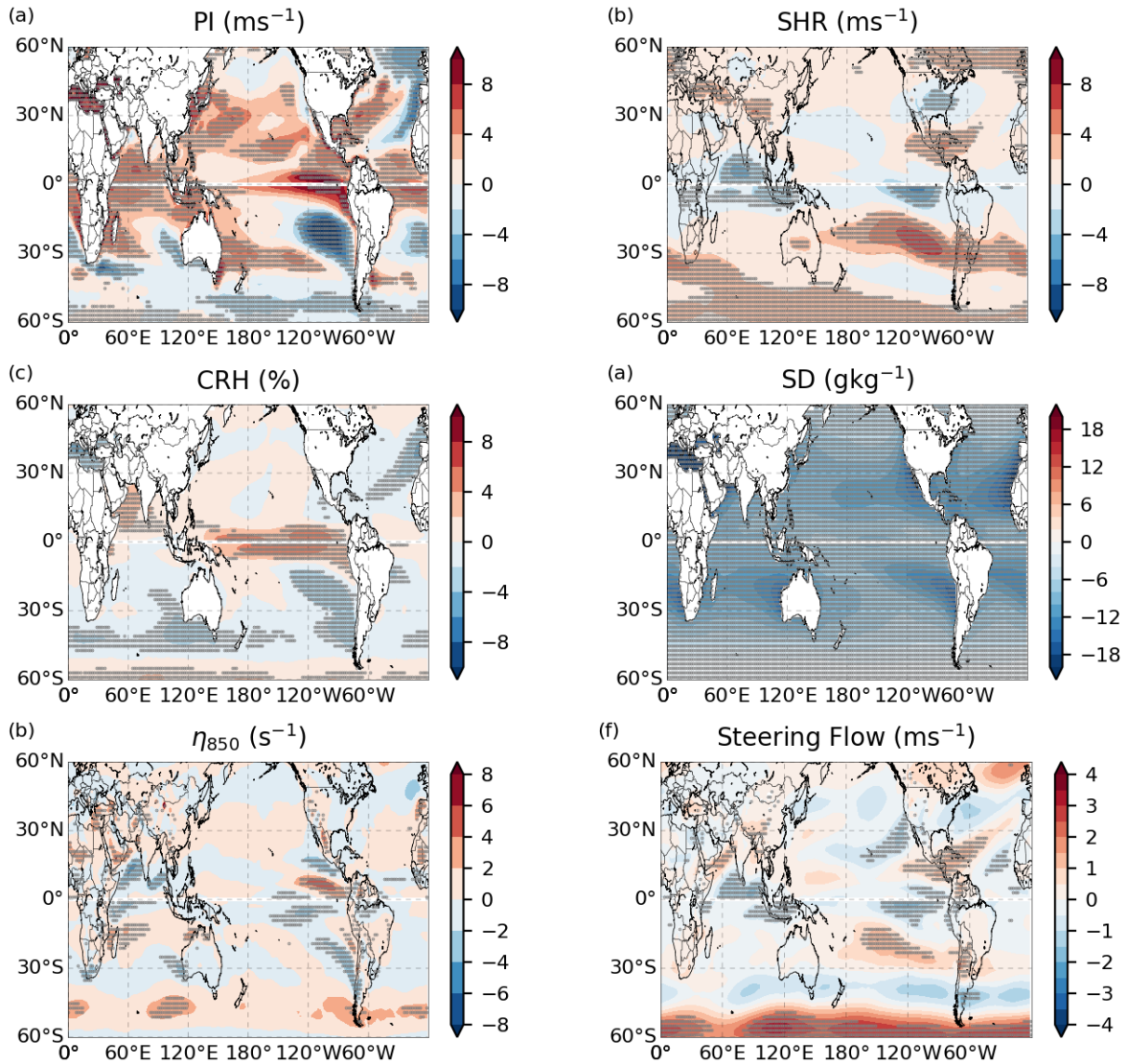
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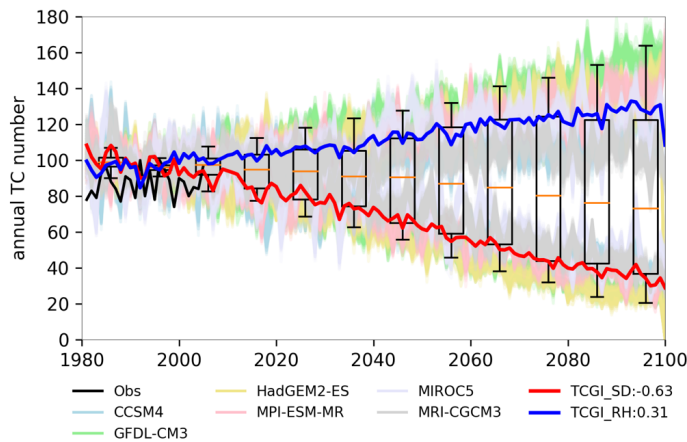
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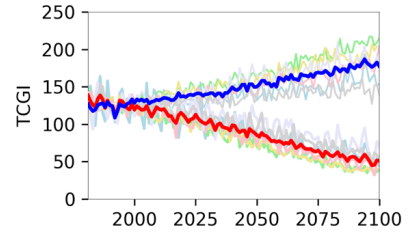


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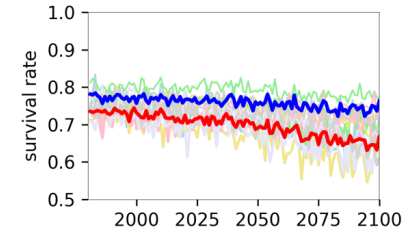
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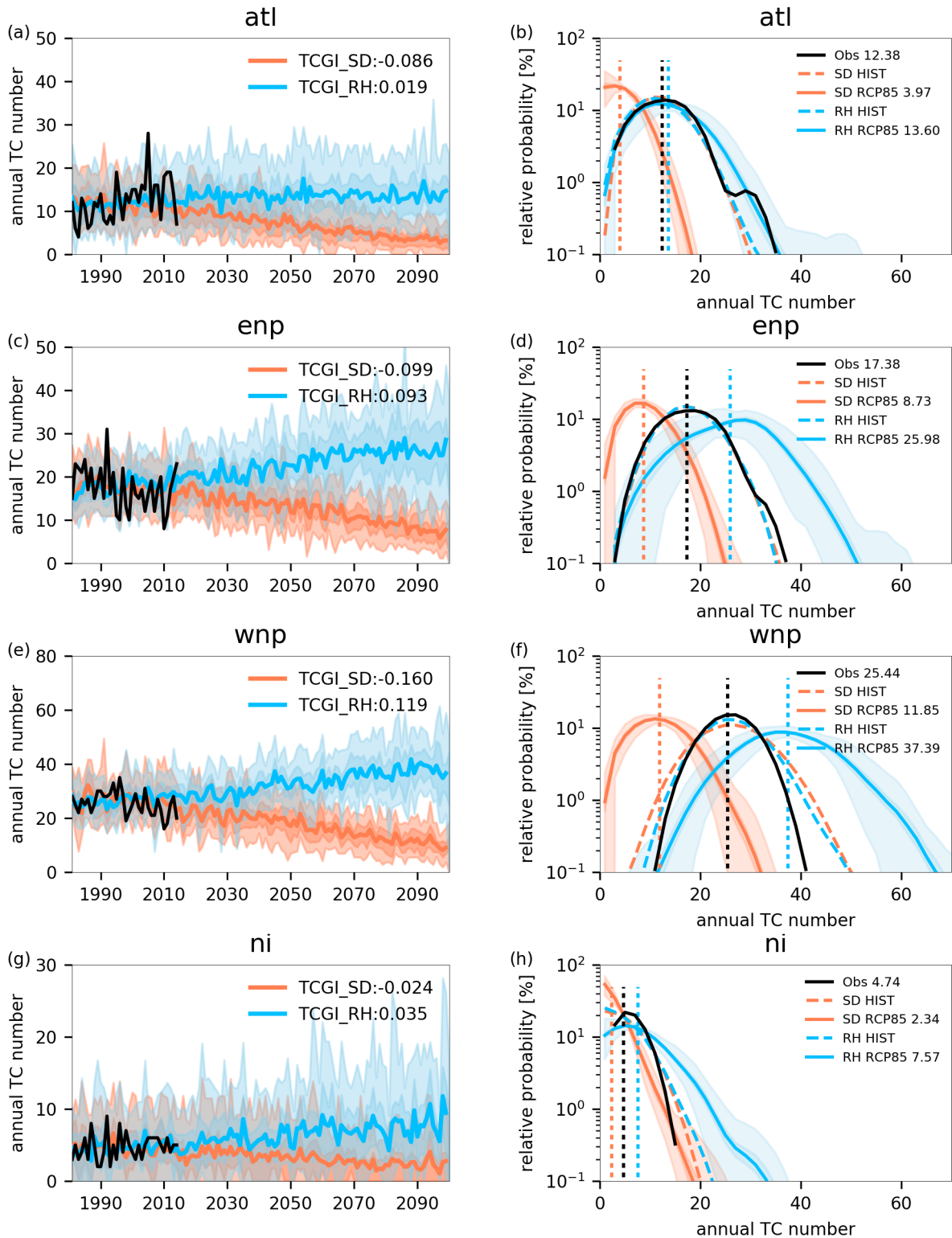
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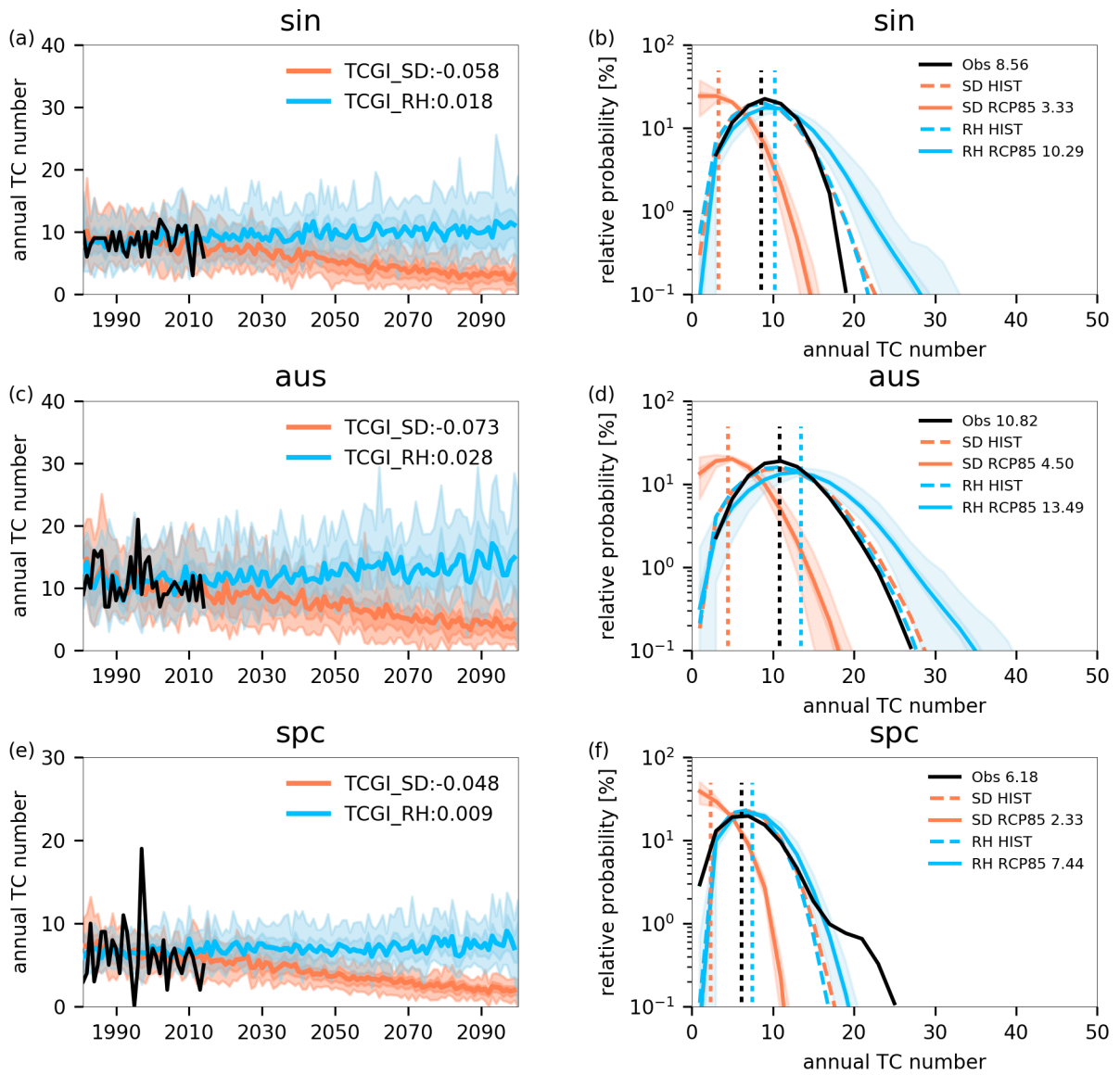
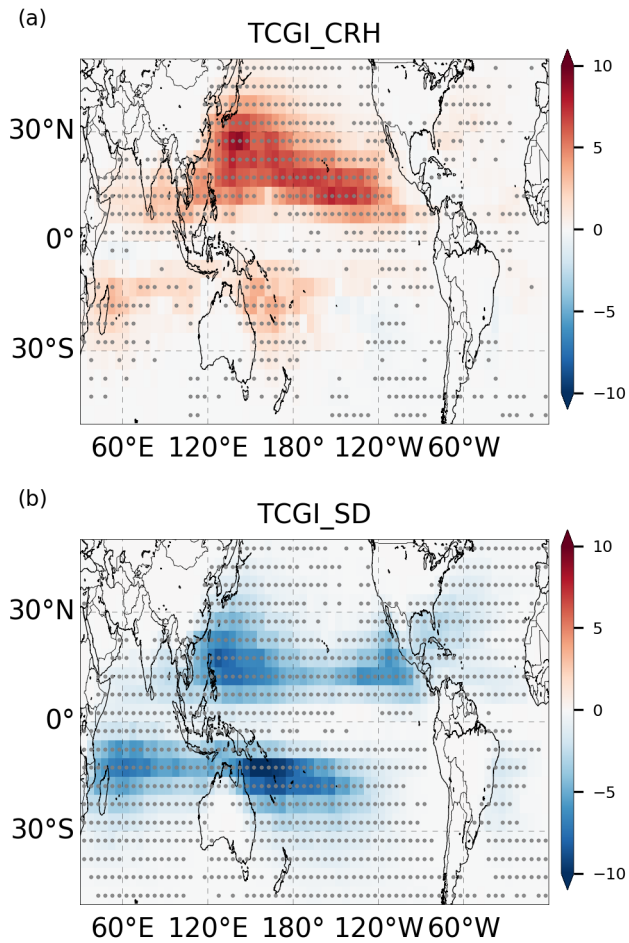
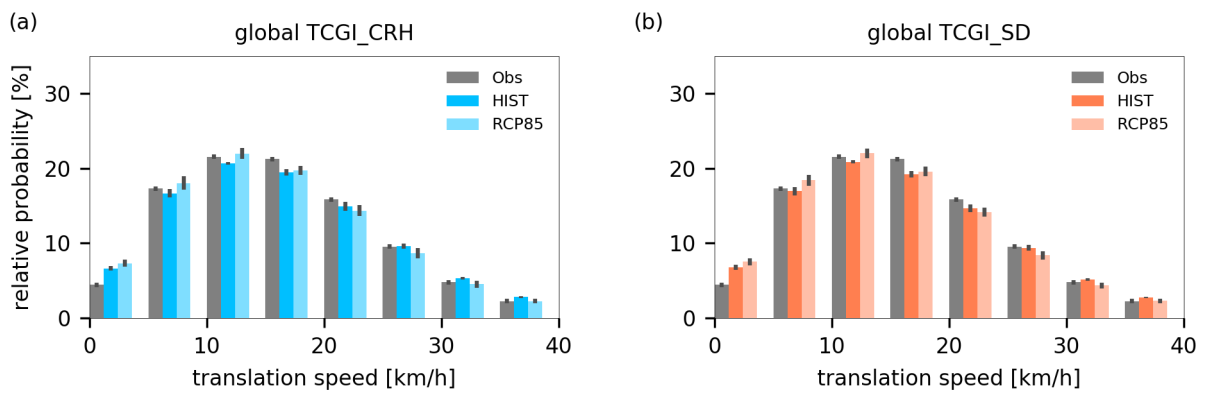


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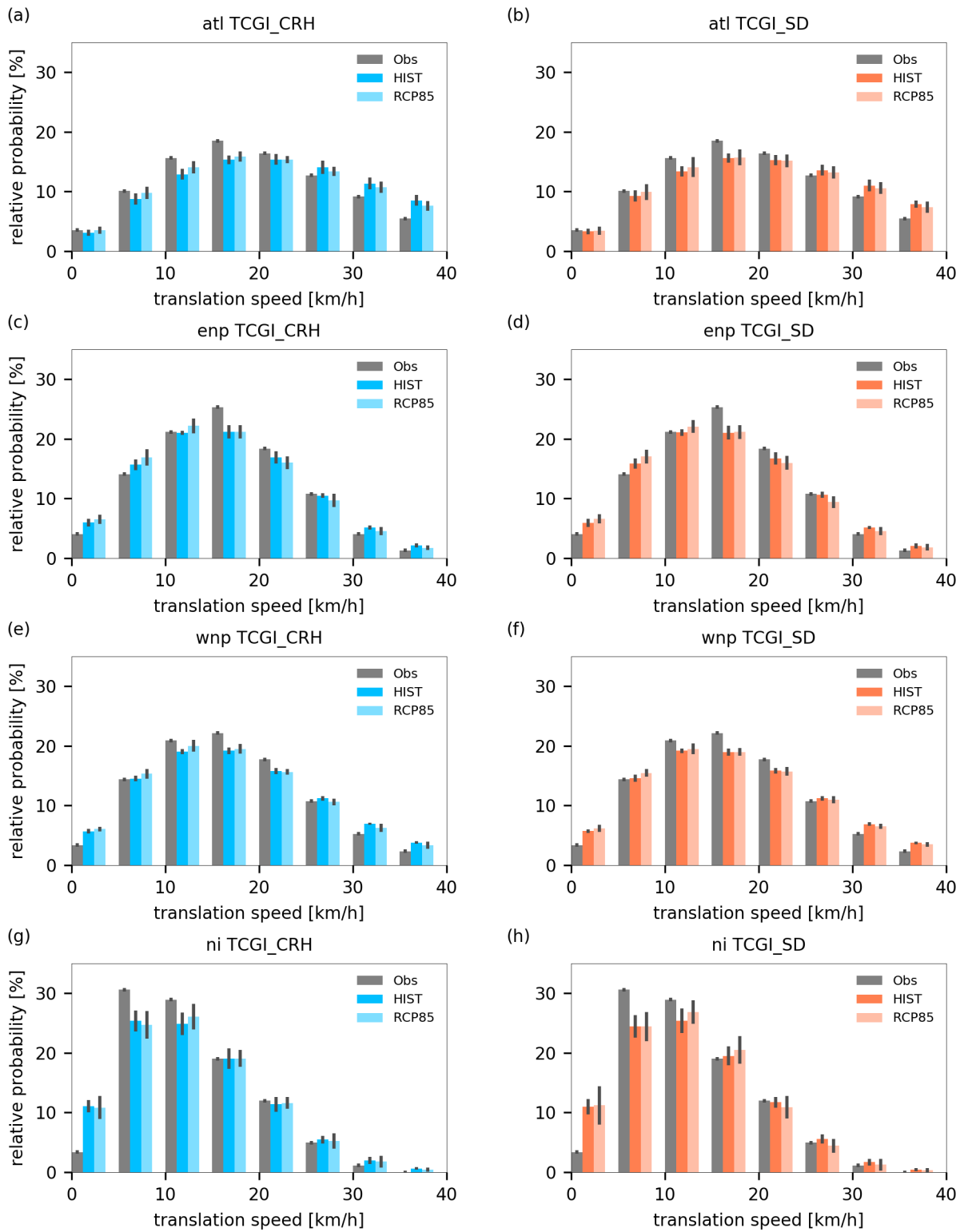
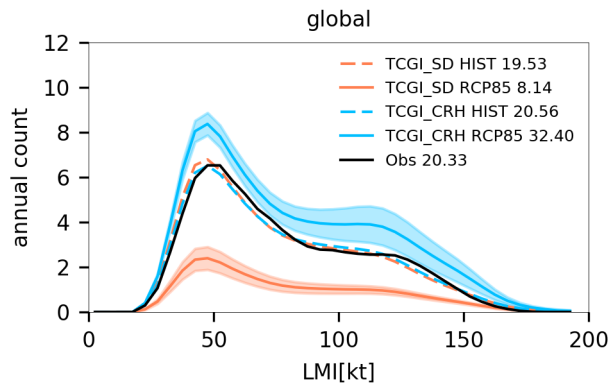
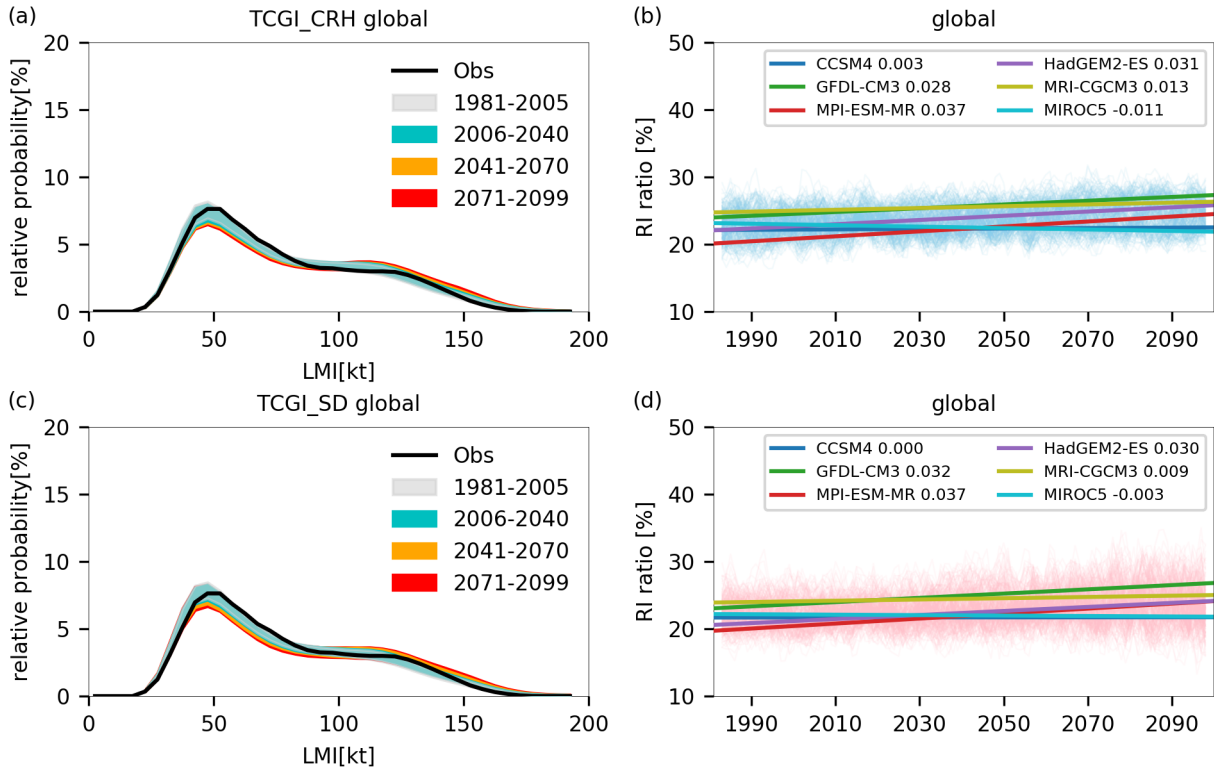


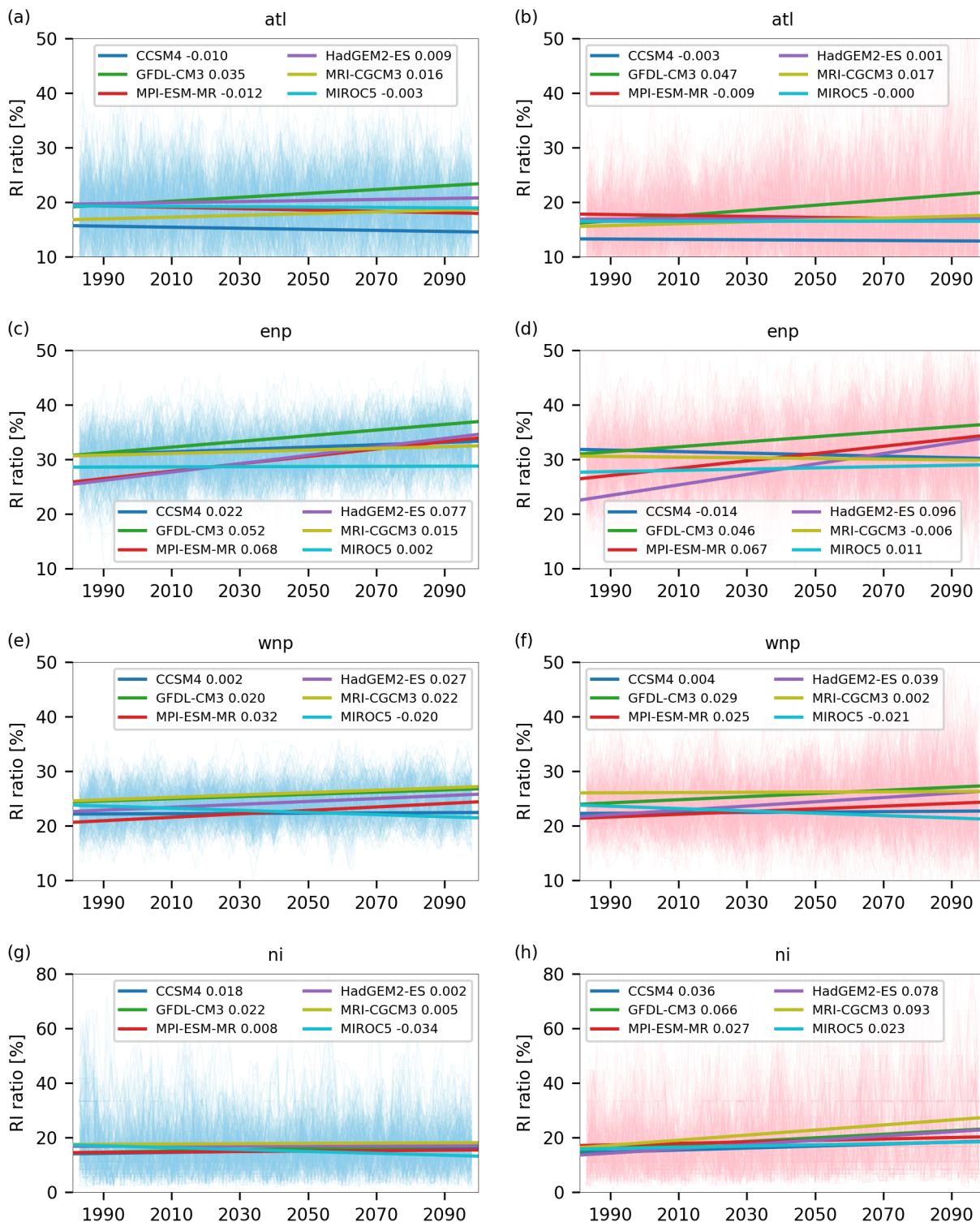
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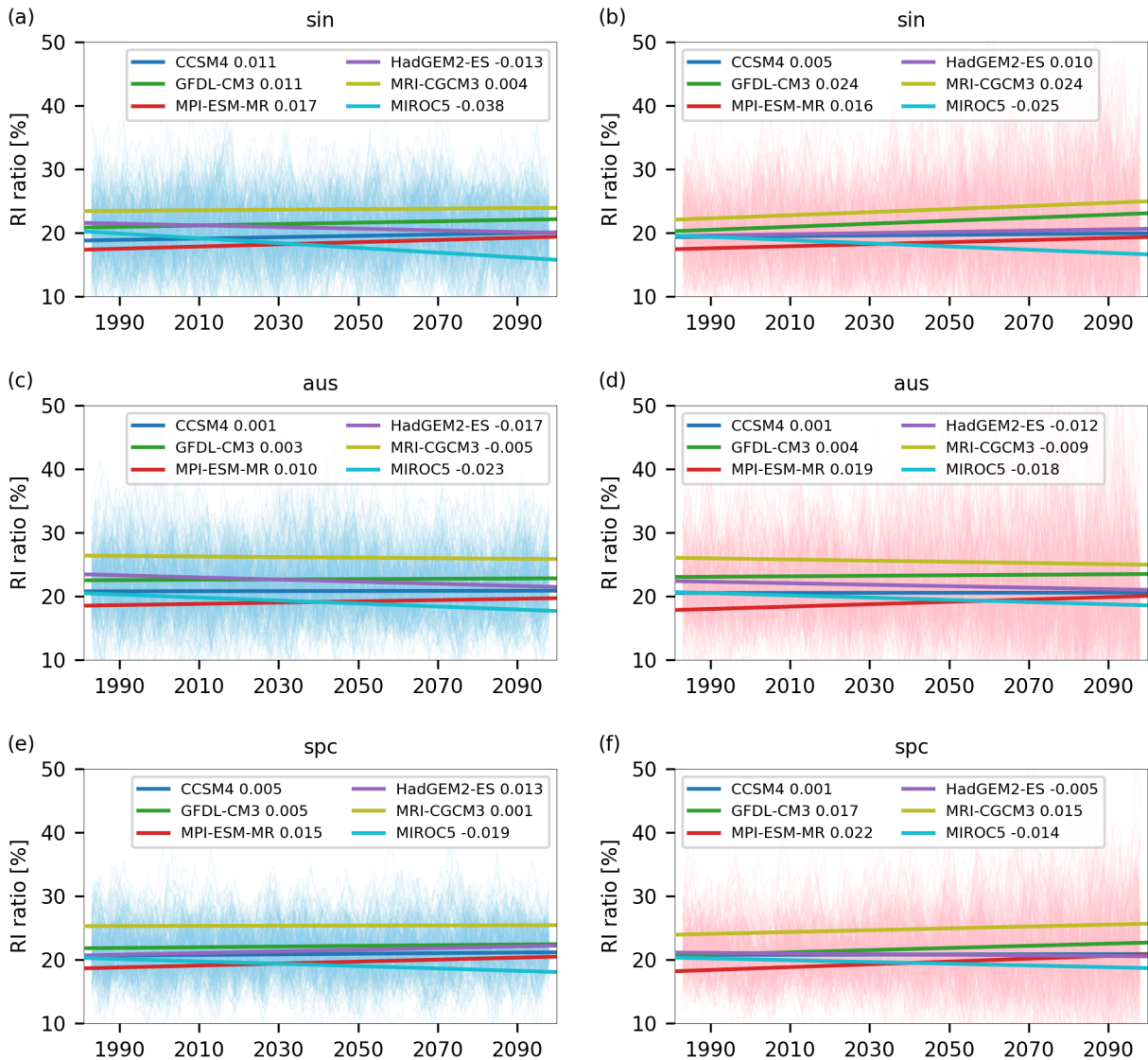
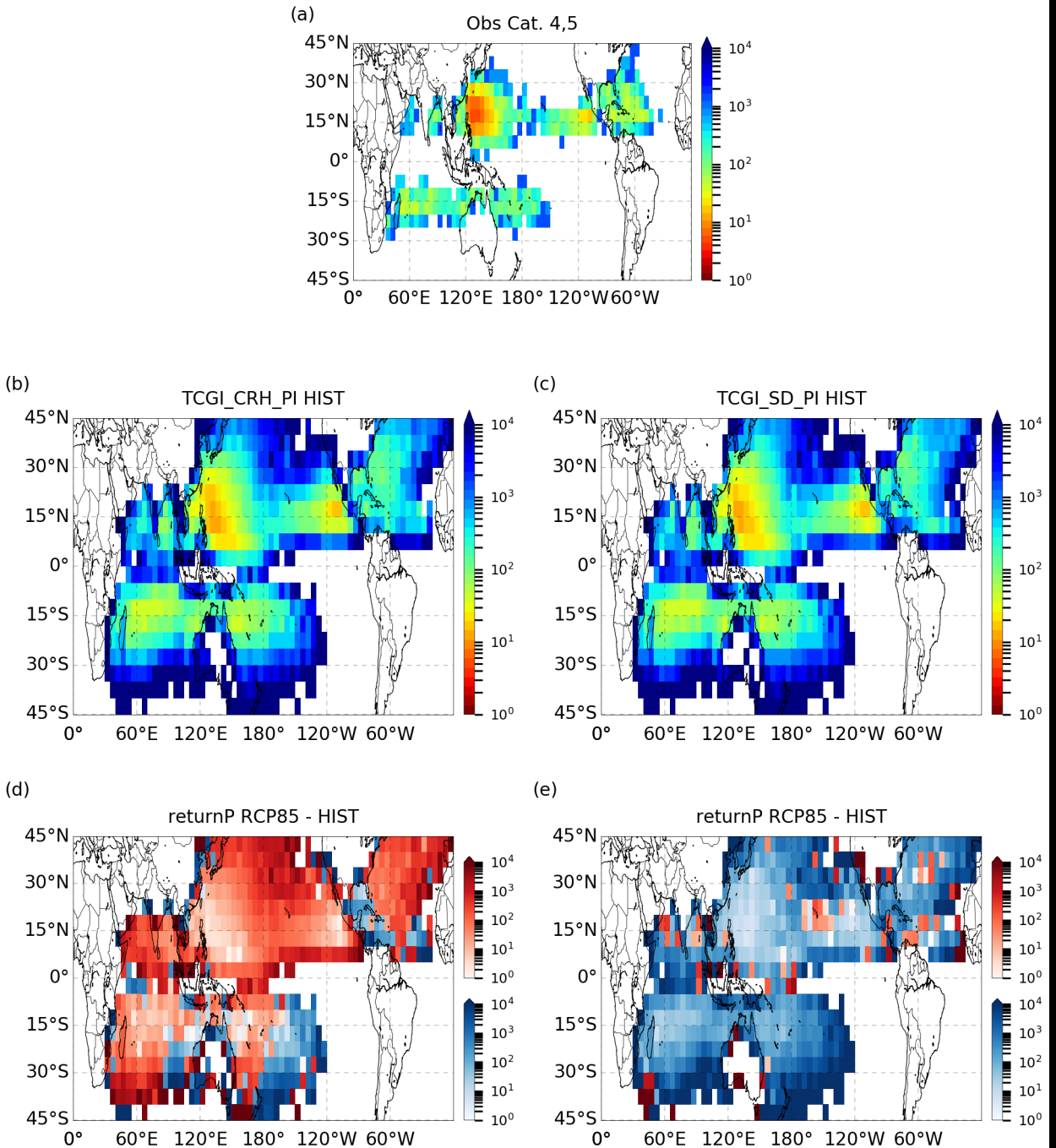


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