



# Changing available energy for extratropical cyclones and associated convection in Northern Hemisphere summer

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The circulation of the Northern Hemisphere extratropical troposphere has changed over recent decades, with marked decreases in extratropical cyclone activity and eddy kinetic energy (EKE) in summer and increases in the fraction of precipitation that is convective in all seasons. Decreasing EKE in summer is partly explained by a weakening meridional temperature gradient, but changes in vertical temperature gradients and increasing moisture also affect the mean available potential energy (MAPE), which is the energetic reservoir from which extratropical cyclones draw. Furthermore, the relation of changes in mean thermal structure and moisture to changes in convection associated with extratropical cyclones is poorly understood. Here we calculate trends in MAPE for the Northern extratropics in summer over the years 1979–2017, and we decompose MAPE into both convective and nonconvective components. Nonconvective MAPE decreased over this period, consistent with decreases in EKE and extratropical cyclone activity, but convective MAPE increased, implying an increase in the energy available to convection. Calculations with idealized atmospheres indicate that nonconvective and convective MAPE both increase with increasing mean surface temperature and decrease with decreasing meridional surface temperature gradient, but convective MAPE is relatively more sensitive to the increase in mean surface temperature. These results connect changes in the atmospheric mean state with changes in both large-scale and convective circulations, and they suggest that extratropical cyclones can weaken even as their associated convection becomes more energetic.

climate change | available energy | extratropical cyclones | convection

Distinct patterns of change have emerged in the thermal structure and moisture content of the Northern Hemisphere extratropical troposphere (1–4), as seen from homogenized radiosonde data (see *Methods*) for the summer season in Fig. 1. Notably, the meridional temperature gradient has weakened in the lower- and middle troposphere (Fig. 1A), and the troposphere has experienced a general moistening (Fig. 1B). The weakening of the meridional temperature gradient is thought to contribute to the observed weakening of eddy kinetic energy (EKE) and cyclone activity levels (5, 6), with implications for regional climate and air quality (7). However, eddy behavior is also affected by changes in moisture content and static stability. For example, amplified low-level warming (Fig. 1A), which is more clearly evident in reanalysis trends that extend to the surface (*SI Appendix, Fig. S1A*), implies decreased static stability in the lower troposphere, which together with increasing specific humidity (Fig. 1B) would tend to increase the growth rates of eddies, opposing the weakening effect from the meridional temperature gradients. Projections of 21st century climate change with coupled climate models also show a decrease in EKE in the Northern Hemisphere in summer that has been linked to weakening lower-tropospheric meridional temperature gradients (8) and increases in extratropical static stability that occurs in the projections in this season (9). The changes in mean thermal structure and moisture could also cause changes in the energy available

to convection; large increases in the convective fraction of precipitation have been observed for all seasons over Eurasia (10), and there is some evidence for increases in convective available potential energy (CAPE) as the climate has warmed (11). However, CAPE is calculated from instantaneous vertical profiles of temperature and humidity and cannot be directly related to changes in mean temperature and moisture in the extratropics.

Mean available potential energy (MAPE) provides a useful framework with which to connect the mean thermal structure (including both meridional temperature gradients and static stability) and moisture content of the extratropical atmosphere to EKE and, as discussed below, to available energy for convection. MAPE is defined as the difference in enthalpy between an atmosphere’s mean state and the minimum-enthalpy state possible from reversible, adiabatic parcel rearrangements (12). MAPE may be calculated neglecting latent heating (dry MAPE) (12) or taking it into account (moist MAPE) (13, 14). EKE scales linearly with dry and moist MAPE in extratropical, baroclinic environments in a wide range of idealized climate model experiments (15–17). A recent study (18) that imposed isolated thermal forcings at different latitudes and levels found that the scaling of EKE with MAPE can break down in some cases, but that it generally performs better than considering the change in meridional temperature gradient or static stability alone. Importantly, EKE also scales linearly with MAPE over the seasonal cycle in the extratropics in both hemispheres based on reanalysis data, and under climate change in coupled model projections, including for intermodel differences (9).

Here, we calculate changes in moist MAPE over recent decades and use the results to better understand observed changes in the circulation. Some recent studies suggest an increasing trend in

## Significance

Extratropical cyclones and their associated convection play a central role in the weather of the midlatitudes and are changing with global warming. By analyzing trends in the energy of the mean state of the atmosphere that is available to be converted to kinetic energy, we show how the warming and moistening of the Northern Hemisphere extratropics relates to the observed weakening of extratropical summer cyclones. We also show that the component of this energy that can be released through convection has increased, despite the weakening of extratropical cyclones. Our results provide a unified framework that illustrates how the observed weakening of the extratropical cyclones in summer can occur while at the same time convection becomes more energetic.

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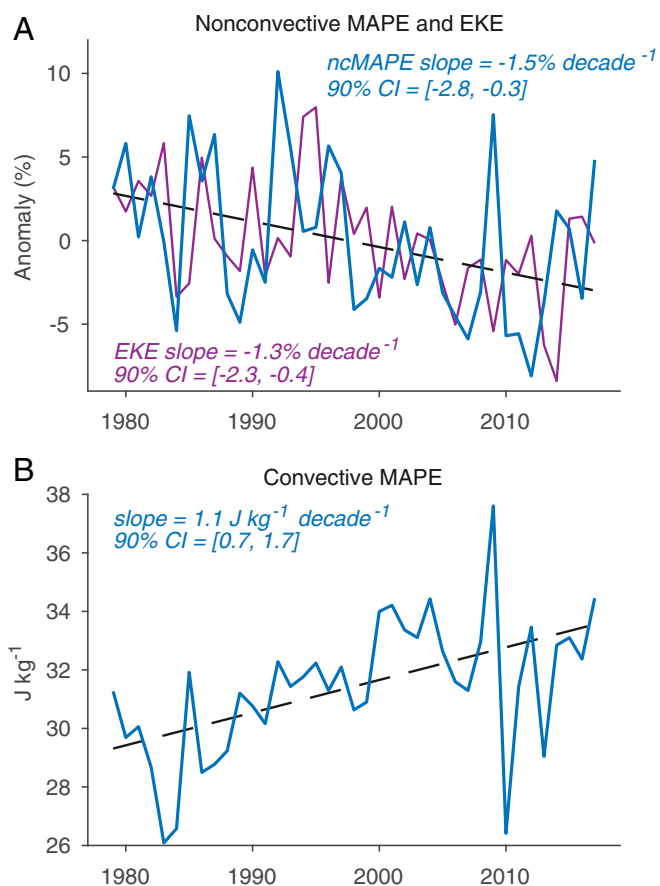
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**Fig. 3.** Time series and trends of energetic reservoirs for summer in the Northern extratropics. (A) Percent anomaly from climatological (1979–2017) mean for nonconvective MAPE (blue line) and EKE (purple line). (B) Convective MAPE, which is defined as the difference between moist MAPE and nonconvective MAPE. All results shown are for JJA over 20–80N based on ERA-Interim reanalysis. Trends and associated 90% confidence intervals are given in each panel. The dashed black lines show the linear best-fit trends for (A) nonconvective MAPE and (B) convective MAPE.

potential temperature along a moist adiabat increases with surface temperature (34), which implies that the ability of a given amount of large-scale ascent to cool the free troposphere and destabilize the column will also increase with temperature. The additional dependence on meridional temperature gradient reflects the ability of stronger temperature gradients to drive more ascent. The idealized atmosphere results show that convective MAPE is relatively more sensitive to mean surface temperature compared with nonconvective MAPE, and this helps explain why convective MAPE can increase in response to mean warming and a weakening meridional temperature gradient even though nonconvective MAPE decreases.

## Discussion

Our results show that there have been opposite-signed changes in the energy available to large-scale circulations and associated moist convection in recent decades in Northern extratropical summer, and that these changes are consistent with decreases in EKE and also consistent in sign with observed increases in the convective precipitation fraction. The changes in MAPE thus serve as a bridge between changes in the mean temperature and moisture of the atmosphere and changes in extratropical circulations. The MAPE framework may also be useful for considering past climate states based on surface temperature proxies to the extent that we can assume a vertical stratification in Northern midlatitude summer that is close to moist adiabatic.

While the link between changes in MAPE and EKE has been extensively studied in previous studies (15–18), our results suggest a need for more investigation into connections between the mean state of the extratropical atmosphere (including both mean temperature and temperature gradients) and its convective behavior. For example, future work could compare convective MAPE with other measures of convection, such as instantaneous CAPE and the convective fraction of precipitation, across the seasonal cycle, in idealized simulations, and in warming scenarios. It is also important to investigate the contribution of zonal asymmetries to trends in nonconvective and convective MAPE since these asymmetries are not included in the zonal-mean MAPE considered here.

Decreasing nonconvective MAPE and increasing convective MAPE are consistent with model projections for Northern midlatitude summer over the 21st century (9). However, the large decrease of roughly 6% in nonconvective MAPE found here over recent decades is of similar magnitude to the multimodel-mean projected decrease in nonconvective MAPE over the whole 21st century—a finding consistent with the observed decrease in cyclone activity being near the extreme end of what different climate models simulate for recent decades (6). Substantial components of regional Arctic amplification may result from unforced variability, for example as a result of the Atlantic Multidecadal Oscillation (35) or via teleconnection to tropical Pacific variability (36), and future work could also investigate the contributions of anthropogenic forcing versus unforced variability to trends in MAPE.

## Methods

**Trends.** All trends of time series are calculated using the Theil–Sen estimator, and 90% confidence intervals are calculated using the bootstrapping percentile method. Zonal average trends in temperature and humidity from radiosonde datasets, and reanalysis products subsampled to radiosonde locations, are calculated as follows: stations are binned in  $10^\circ$  latitude bands, and the trend for each pressure level and latitude band is determined as the median trend of the seasonal average at that pressure level among the stations in that latitude band. The use of the median trend in latitude bands limits the influence of outlier trends in the radiosonde data (3).

**Temperature and Humidity Data.** For the calculation of MAPE, monthly mean temperature and humidity data from 1979 to 2017 with a grid resolution of  $2.5^\circ$  by  $2.5^\circ$  are taken from the ERA-Interim dataset, a global atmospheric reanalysis produced by the European Centre for Medium-Range Weather Forecasts (21). Seasonal-mean temperature and humidity are first calculated at each gridpoint. The zonal mean is then taken for a given year excluding any gridpoints at which the monthly pressure is greater than the monthly surface pressure at that point by more than 25 hPa (the pressure spacing near the surface) for any of the months in the season. The mean surface temperatures and surface meridional temperature gradients shown in *SI Appendix, Fig. S7* are calculated from the zonal and seasonal mean of the 1,000-hPa temperatures at each latitude as calculated above, and then meridionally averaged with area weighting.

Observational temperature data are taken from IUKv2 (3), a radiosonde dataset homogenized by Iterative Universal Kriging to correct for time-varying instrument biases. For direct comparison with IUKv2, ERA-Interim data are subsampled in space and time to the coordinates closest to the station data in the IUKv2 dataset and trends are calculated as described above. We chose ERA-Interim for use in this paper because of its relatively good agreement with the radiosonde data in terms of temperature trends when subsampled to the station locations (compare Fig. 1A with *SI Appendix, Fig. S2A*), whereas other reanalysis products that we analyzed were found to have less good agreement, resulting in MAPE trends different from those presented here, including differences of sign in some cases.

Observational specific humidity data are taken from the homoRS92 dataset, a homogenized global, twice-daily humidity dataset that consists of the dataset described in Dai et al. (4), supplemented with dry-bias corrected data from Vaisala RS92 soundings (37). In reporting relative humidity and specific humidity, this dataset employs a separate homogenized air temperature radiosonde product (38) combined with the homogenized dewpoint depression. Due to missing data in this dataset (which unlike IUKv2 is not iteratively filled), the following processing procedure is applied when determining trends: (i) at individual stations and pressure levels, only days with two measurements are considered, (ii) only months with at least 70% of days are considered, (iii) only JJA averages with all three months present are considered, and (iv) only trends based on at least 70% of years are considered. The zonal-median trend following this



$$T_s(\phi) = T_{eq} - \Delta_T \sin^2 \phi,$$

where  $T_{eq}$  is the surface temperature at the equator and  $\Delta_T$  is a parameter controlling the meridional surface temperature gradient. Vertical temperature profiles in the atmosphere based on the surface temperatures are then determined as follows. First, reversible moist adiabatic parcel ascents with an assumed initial surface relative humidity of 85% are constructed in which the temperature profile follows a dry adiabat until saturation, after which it follows a saturated moist adiabat. A stratosphere with a constant temperature of 240 K is imposed above the tropopause, with the tropopause defined as the level at which the parcel ascents reach 240 K. While warmer than the real tropopause, this choice limits the extent to which upper-level meridional temperature gradients become much steeper than in the real atmosphere, inflating MAPE values. Next, vertical relative-humidity profiles are imposed with boundary-layer relative humidity of 85% from the surface up to 900 hPa, free-tropospheric relative humidity of 45% between 900 hPa and the tropopause, and stratospheric relative humidity of 0.01%. Using one value of free-tropospheric relative humidity at all latitudes is a simplification, and we chose a value close to the climatological value at lower latitudes where ascending air originates. Lastly, temperature profiles are constructed such that the virtual temperature profile with the imposed relative humidity values matches the virtual temperature profile of the moist adiabat. This procedure allows us to produce a subsaturated atmosphere that is neutral to moist convective instability. As a result, the convective MAPE is driven by the large-scale pattern of ascent and descent rather than having a contribution from conditional instability in the initial condition at a given latitude. In particular, the moist available potential energy of a column of air at a given latitude in isolation, the GCAPE (43), is zero.

We solve for  $T_{eq}$  and  $\Delta_T$  to produce an evenly spaced grid of mean surface temperatures and surface temperature gradients averaged with area

weighting over the latitude band 20–80N. The mean surface temperatures are 289–295 K at increments of 0.5 K, and the mean meridional surface temperature gradients are 0.15–0.65 K degree<sup>-1</sup> at increments of 0.05 K degree<sup>-1</sup>. The moist MAPE and nonconvective and convective components are calculated for each of these idealized atmospheres over 20–80N. The resulting values of moist MAPE and its convective and nonconvective components are shown in Fig. 4.

Based on ERA-Interim over JJA and 20–80N, the mean surface temperature is 292 K and the mean meridional surface temperature gradient is 0.44 K degree<sup>-1</sup> (SI Appendix, Fig. S7). At these values, the nonconvective MAPE for the idealized atmosphere is 325 J kg<sup>-1</sup> compared with 185 J kg<sup>-1</sup> from ERA-Interim, and the convective MAPE for the idealized atmosphere is 9 J kg<sup>-1</sup> compared with 32 J kg<sup>-1</sup> from ERA-Interim. The larger nonconvective MAPE in the idealized atmosphere likely relates to the meridional temperature gradients aloft being too steep because the idealization of moist-adiabatic lapse rates becomes less accurate at higher latitudes. The smaller convective MAPE in the idealized atmosphere may relate to inaccuracy in the idealized relative-humidity structure since convective MAPE only receives a small contribution from conditional instability of the mean state in ERA-Interim. However, these discrepancies in absolute values are not problematic because our aim in using the idealized atmosphere is to better understand the relative changes in convective and nonconvective MAPE as a function of the surface parameters.

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